


# CMRT (Conflict Mineral Reporting Template)

Die aktuelle Version des offiziellen CMRT (Conflict Mineral Reporting Template) kann jederzeit kostenlos unter folgender Adresse abgerufen werden:

<http://www.responsiblemineralsinitiative.org/conflict-minerals-reporting-template/>

|  <p style="font-size: small;"> <b>Select Language Preference Here:</b><br/>                 選擇語言的偏好:<br/>                 한국어 언어를 선택하십시오.<br/>                 请选择您喜欢的语言:<br/>                 Sélectionner la langue préférée ici.<br/>                 Selección de idioma de idioma aquí.<br/>                 Wählen sie hier die Sprache.<br/>                 Selecciona el lenguaje de preferencia aquí.<br/>                 Selezionare la lingua di preferenza qui.<br/>                 Burada Dil Tercihini Belirleyin.             </p> | <h2 style="margin: 0;">Conflict Minerals Reporting Template (CMRT)</h2>   |          |        |          |              |            |  |         |            |  |          |            |  |              |            |  |
|---|---|----------|--------|----------|--------------|------------|--|---------|------------|--|----------|------------|--|--------------|------------|--|
| The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products  | Revision 5.11<br>April 27, 2018<br><a href="#">Link to Terms &amp; Conditions</a>   |          |        |          |              |            |  |         |            |  |          |            |  |              |            |  |
| Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.  |   |          |        |          |              |            |  |         |            |  |          |            |  |              |            |  |
| Company Name (*):<br>Declaration Scope or Class (*):<br>Description of Scope:<br>Company Unique ID:<br>Company Unique ID Authority:<br>Address:<br>Contact Name (*):<br>Email - Contact (*):<br>Phone - Contact (*):<br>Authorizer (*):<br>Title - Authorizer:<br>Email - Authorizer (*):<br>Phone - Authorizer (*):<br>Effective Date (*):   | <b>Company Information</b><br>[Redacted Fields]   |          |        |          |              |            |  |         |            |  |          |            |  |              |            |  |
| Answer the following questions 1 - 7 based on the declaration scope indicated above   |   |          |        |          |              |            |  |         |            |  |          |            |  |              |            |  |
| <b>1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)</b>  | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 30%;">Answer</th> <th style="width: 40%;">Comments</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; font-size: x-small;">Tantalum (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tin (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Gold (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tungsten (*)</td> <td>[Redacted]</td> <td></td> </tr> </tbody> </table> |          | Answer | Comments | Tantalum (*) | [Redacted] |  | Tin (*) | [Redacted] |  | Gold (*) | [Redacted] |  | Tungsten (*) | [Redacted] |  |
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| <b>2) Does any 3TG remain in the product(s)? (*)</b>  | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 30%;">Answer</th> <th style="width: 40%;">Comments</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; font-size: x-small;">Tantalum (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tin (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Gold (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tungsten (*)</td> <td>[Redacted]</td> <td></td> </tr> </tbody> </table> |          | Answer | Comments | Tantalum (*) | [Redacted] |  | Tin (*) | [Redacted] |  | Gold (*) | [Redacted] |  | Tungsten (*) | [Redacted] |  |
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| Tungsten (*)  | [Redacted]  |          |        |          |              |            |  |         |            |  |          |            |  |              |            |  |
| <b>3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)</b>  | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 30%;">Answer</th> <th style="width: 40%;">Comments</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; font-size: x-small;">Tantalum (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tin (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Gold (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tungsten (*)</td> <td>[Redacted]</td> <td></td> </tr> </tbody> </table> |          | Answer | Comments | Tantalum (*) | [Redacted] |  | Tin (*) | [Redacted] |  | Gold (*) | [Redacted] |  | Tungsten (*) | [Redacted] |  |
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| Tungsten (*)  | [Redacted]  |          |        |          |              |            |  |         |            |  |          |            |  |              |            |  |
| <b>4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)</b>   | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 30%;">Answer</th> <th style="width: 40%;">Comments</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; font-size: x-small;">Tantalum (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tin (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Gold (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tungsten (*)</td> <td>[Redacted]</td> <td></td> </tr> </tbody> </table> |          | Answer | Comments | Tantalum (*) | [Redacted] |  | Tin (*) | [Redacted] |  | Gold (*) | [Redacted] |  | Tungsten (*) | [Redacted] |  |
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| <b>5) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)</b>   | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 30%;">Answer</th> <th style="width: 40%;">Comments</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; font-size: x-small;">Tantalum (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tin (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Gold (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tungsten (*)</td> <td>[Redacted]</td> <td></td> </tr> </tbody> </table> |          | Answer | Comments | Tantalum (*) | [Redacted] |  | Tin (*) | [Redacted] |  | Gold (*) | [Redacted] |  | Tungsten (*) | [Redacted] |  |
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| <b>6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)</b>   | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 30%;">Answer</th> <th style="width: 40%;">Comments</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; font-size: x-small;">Tantalum (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tin (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Gold (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tungsten (*)</td> <td>[Redacted]</td> <td></td> </tr> </tbody> </table> |          | Answer | Comments | Tantalum (*) | [Redacted] |  | Tin (*) | [Redacted] |  | Gold (*) | [Redacted] |  | Tungsten (*) | [Redacted] |  |
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| <b>7) Has all applicable smelter information received by your company been reported in this declaration? (*)</b>  | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;"></th> <th style="width: 30%;">Answer</th> <th style="width: 40%;">Comments</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; font-size: x-small;">Tantalum (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tin (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Gold (*)</td> <td>[Redacted]</td> <td></td> </tr> <tr> <td style="text-align: center; font-size: x-small;">Tungsten (*)</td> <td>[Redacted]</td> <td></td> </tr> </tbody> </table> |          | Answer | Comments | Tantalum (*) | [Redacted] |  | Tin (*) | [Redacted] |  | Gold (*) | [Redacted] |  | Tungsten (*) | [Redacted] |  |
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**Answer the Following Questions at a Company Level**

| Question   | Answer | Comments |
|--|--------|----------|
| A. Have you established a conflict minerals sourcing policy? (*)   |        |          |
| B. Is your conflict minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)                 |        |          |
| C. Do you require your direct suppliers to be DRC conflict-free? (*)   |        |          |
| D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*) |        |          |
| E. Have you implemented due diligence measures for conflict-free sourcing? (*)   |        |          |
| F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)   |        |          |
| G. Do you review due diligence information received from your suppliers against your company's expectations? (*)   |        |          |
| H. Does your review process include corrective action management? (*)  |        |          |
| I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*)   |        |          |